

Transmittal Letter to the United States  
Designated/Elected Office (DO/EO/US)

Page 1  
FORM PTO-1390

Docket No. : LZ-116PCT  
U.S. Application No. :  
International Application No. : PCT/EP05/01791  
International Filing Date : February 21, 2005  
Priority Date Claimed : March 1, 2004  
Title of Invention : DISK BRAKE  
Applicant(s) for (DO/EO/US) : Eugen Kloos and Frank Beyer

IAP6 Rec'd PCT/PTO 01 SEP 2006  
10/591510

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

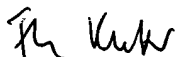
1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures 35 U.S.C. 371 (f) at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the cover sheet of the International Application as filed [35 U.S.C. 371(c)(2)].
  - a) ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b) ☐ has been transmitted by the international Bureau.
  - c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English [35 U.S.C. 371(c)(2)].
7. ☐ Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)].
  - a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b) ☐ have been transmitted by the International Bureau.
  - c) ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d) ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)].
9. ☒ An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]. UNSIGNED
10. ☐ A translation of the International Preliminary Examination Report.

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 198.
12. ☐ An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ (other items or information) Five sheets of drawings, PTO-1449 w/ 10 references,  
International Search Report and German Office Action

EXPRESS MAIL No.: EV 635 867 614 US Deposited: September 1, 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Friedrich Kueffner

September 1, 2006  
Date

17. ☒ The following fees are submitted:

CALCUL- PTO USE  
ATIONS- ONLO

**BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]:**

- ☒ Search Report has been prepared by the EPO or JPO. .... \$ 1,000.00  
☐ International preliminary examination fee paid to USPTO [37 CFR 1.482]: ..... \$  
☐ No International preliminary examination fee paid to USPTO [37 CFR 1.482]  
but International search fee paid to USPTO [37 CFR 1.445(a)(2)]: ..... \$  
☐ Neither International preliminary examination fee [37 CFR 1.482] nor  
International search fee [37 CFR 1.445(a)(2)] paid to USPTO: ..... \$  
☐ International preliminary examination fee paid to USPTO [37 CFR 1.482]  
and all claims satisfied provisions of PCT Article 33 (2) to (4): ..... \$

ENTER APPROPRIATE BASIC FEE AMOUNT: \$ 1000.00

Surcharge of \$ 130.00 for furnishing the oath or declaration later than 20 30 months  
from the earliest claimed priority date [37 CFR 1.492(e)] ..... \$

Claims	filed	Extra	Rate
Total Claims	16	-20=	x \$ 50.= \$
Indep. Claims	1	- 3=	x \$200.= \$
( ) Multiple Dependent Claim Presented?			x \$360.= \$

TOTAL OF ABOVE CALCULATIONS: \$ 1000.00

Small Entity Status is being claimed ..... (divided by 2) \$

SUBTOTAL: \$ 1000.00

Processing fee of \$ 130.00 for furnishing the English Translation later than 20 30 months  
from the earliest claimed priority date [37 CFR 1.492(f)] ..... \$

TOTAL NATIONAL FEE: \$ 1000.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be  
accompanied by an appropriate cover sheet [37 CFR 3.28,3.31]. \$ 40.00 per property ..... \$

TOTAL FEE ENCLOSED: \$ 1000.00

AMOUNT TO BE REFUNDED: \$ Refunded

AMOUNT TO BE CHARGED: \$ Charged

- a) ☒ The amount of \$ 1,000.00 to cover the above fees is being charged as per attached for PTO-2038.  
b) ☐ Please charge my Deposit Account No. 11-1835 in the amount of \$ to cover the above fees.  
A duplicate copy of this sheet is enclosed.  
c) ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 11-1835. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or  
(b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Friedrich Kueffner  
317 Madison Avenue  
Suite 910  
New York, NY 10017

Friedrich Kueffner  
Name

signature

Reg. No. 29,482 Date September 1, 2006

CUSTOMER NUMBER: 00040570

of the U.S. Postal Service and is provided solely for use in sending Express Mail.  
Federal law.

Addresssee Copy  
L181 1F  
April 2004

**EXPRESS MAIL**  
10/591510

Post Office To Addressee



UNITED STATES POSTAL SERVICE®

**DELIVERY (POSTAL SERVICE USE ONLY)**

Delivery Attempt	Employee Signature
Mo. Day Time	Mo. Day Time
SEP 05 2006 PM	SEP 05 2006 PM
Delivery Attempt	Employee Signature
Mo. Day Time	Mo. Day Time
SEP 05 2006 PM	SEP 05 2006 PM
Delivery Attempt	Employee Signature
Mo. Day Time	Mo. Day Time
SEP 05 2006 PM	SEP 05 2006 PM

**RECEIVED**  
SEP 05 2006 PM  
U.S. MAIL CENTER

**TO: PLEASE PRINT**  
PHONE 1-800-222-1811  
FRIEDMAN, JEFFREY R  
317 MADISON AVE RM 910  
NEW YORK, NY 10017-5246

**FROM: (PLEASE PRINT)**  
2 ATTACHED  
212 966 3114  
FRIEDMAN, JEFFREY R  
317 MADISON AVE RM 910  
NEW YORK, NY 10017-5246

**DATE OF DELIVERY**  
SEP 05 2006 PM

**TIME OF DELIVERY**  
SEP 05 2006 PM

**POSTAGE**  
\$ 2.20

**INSURANCE FEE**  
\$ 0.00

**RETURN RECEIPT FEE**  
\$ 0.00

**TOTAL POSTAGE & FEES**  
\$ 2.20

**POSTAGE**  
\$ 2.20

**INSURANCE FEE**  
\$ 0.00

**RETURN RECEIPT FEE**  
\$ 0.00

**TOTAL POSTAGE & FEES**  
\$ 2.20

**POSTAGE**  
\$ 2.20

**INSURANCE FEE**  
\$ 0.00

**RETURN RECEIPT FEE**  
\$ 0.00

**TOTAL POSTAGE & FEES**  
\$ 2.20

**POSTAGE**  
\$ 2.20

**INSURANCE FEE**  
\$ 0.00

**RETURN RECEIPT FEE**  
\$ 0.00



EV635867614US

**ORIGIN (POSTAL SERVICE USE ONLY)**

Day of Delivery	Postage
SEP 05 2006 PM	\$ 2.20
Mo. Day Time	Mo. Day Time
SEP 05 2006 PM	SEP 05 2006 PM
Delivery Attempt	Employee Signature
Mo. Day Time	Mo. Day Time
SEP 05 2006 PM	SEP 05 2006 PM
Delivery Attempt	Employee Signature
Mo. Day Time	Mo. Day Time
SEP 05 2006 PM	SEP 05 2006 PM

**DATE OF DELIVERY**  
SEP 05 2006 PM

**TIME OF DELIVERY**  
SEP 05 2006 PM

**POSTAGE**  
\$ 2.20

**INSURANCE FEE**  
\$ 0.00

**RETURN RECEIPT FEE**  
\$ 0.00

**TOTAL POSTAGE & FEES**  
\$ 2.20

**POSTAGE**  
\$ 2.20

**INSURANCE FEE**  
\$ 0.00

**RETURN RECEIPT FEE**  
\$ 0.00

**TOTAL POSTAGE & FEES**  
\$ 2.20

**POSTAGE**  
\$ 2.20

**INSURANCE FEE**  
\$ 0.00

**RETURN RECEIPT FEE**  
\$ 0.00

**TOTAL POSTAGE & FEES**  
\$ 2.20

**POSTAGE**  
\$ 2.20

**INSURANCE FEE**  
\$ 0.00

**RETURN RECEIPT FEE**  
\$ 0.00

**TOTAL POSTAGE & FEES**  
\$ 2.20

**POSTAGE**  
\$ 2.20

FOR PICKUP OR TRACKING: Visit [www.usps.com](http://www.usps.com) or Call 1-800-222-1811

BEST AVAILABLE COPY